

448

10/07/98
JCC532 U.S.

PATENT APPLICATION TRANSMITTAL

Only for nonprovisional applications under 37 CFR § 1.53(b))

Attorney Docket No.

920010 C8

First Inventor or Application Identifier

Martin A. Cheever

Title

COMPOUNDS FOR ELICITING OR ENHANCING IMMUNE REACTIVITY
TO HER-2/neu PROTEIN FOR PREVENTION OR TREATMENT OF
MALIGNANCIES WHICH THE HER-2/neu ONCOGENE IS ASSOCIATED

Express Mail Label No.

EM001237621US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. General Authorization Form & Fee Transmittal
(Submit an original and a duplicate for fee processing)2. Specification [Total Pages] **66**
(preferred arrangement set forth below)

- Descriptive Title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

3. Drawing(s) (35 USC 113) [Total Sheets] **4**4. Oath or Declaration [Total Pages] **2**

- a. Newly executed (original or copy)
- b. Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)

- i. **DELETION OF INVENTOR(S)**
Signed statement attached deleting
inventor(s) named in the prior
application,
see 37 CFR 1.63(d)(2) and 1.33(b)

5. Incorporation By Reference (useable if box 4b is checked)
The entire disclosure of the prior application, from which
a copy of the oath or declaration is supplied under Box
4b, is considered to be part of the disclosure of the
accompanying application and is hereby incorporated by
reference therein.

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment

 Continuation Divisional Continuation-In-Part (CIP)of prior Application No.: **08/625,101**Prior application information: Examiner N. JohnsonGroup / Art Unit **1642** Claims the benefit of Provisional Application No. _____**CORRESPONDENCE ADDRESS**

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Respectfully submitted,

TYPED or PRINTED NAME Richard G. SharkeyREGISTRATION NO. **32,629**SIGNATURE Richard G. Sharkey
u:\float\gatc\0307Date October 6, 1998

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

jc525 U S PTO
09/167516
10/05/98

Applicants : Martin A. Cheever and Mary L. Disis
Filed : October 6, 1998
For : COMPOUNDS FOR ELICITING OR ENHANCING IMMUNE REACTIVITY TO HER-2/neu PROTEIN FOR PREVENTION OR TREATMENT OF MALIGNANCIES IN WHICH THE HER-2/neu ONCOGENE IS ASSOCIATED

Docket No. : 920010.448C8
Date : October 6, 1998

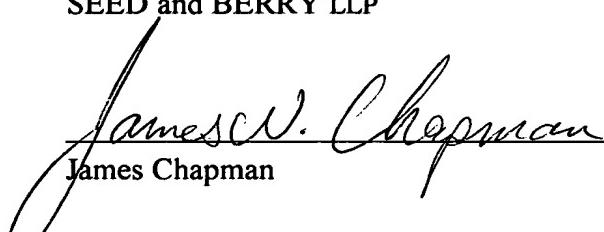
Box Patent Application
Assistant Commissioner for Patents
Washington, DC 20231

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

Sir:

I hereby certify that the enclosures listed below are being deposited with the United States Postal Service "EXPRESS MAIL Post Office to Addressee" service under 37 C.F.R. § 1.10, Mailing Label Certificate No. EM001237621US, on October 6, 1998, addressed to Box Patent Application, Assistant Commissioner for Patents, Washington, DC 20231.

Respectfully submitted,
SEED and BERRY LLP


James Chapman

RGS:gatc

Enclosures:

- Postcard
- Form PTO/SB/05
- Specification, Claims, Abstract (66 pages)
- 4 Sheets of Drawings (Figures 1-4)
- Copy of Declaration and Power of Attorney